

- Please type a plus sign (+) inside this box

PTO/SB/05 (2/98)
Approved for use through 09/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(h))

Attorney Docket No. 06192.0203.NPUS00
First Named Inventor or Application Identifier Jang-Kun SONG
Title Liquid Crystal Display and Substrate Thereof
Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

Assistant Commissioner for Patents
ADDRESS TO: Box Patent Application
Washington, DC 20231

- ☒ *Fee Transmittal Form (Form PTO-1082)
(Submit an original and a duplicate for fee processing)
- ☒ Specification [Total Pages 19]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (Two)
(should be specifically itemized)
14. ☐ *Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired
15. ☒ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other:

*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: /

Prior Application Information: Examiner: Group/Art Unit:

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 22930 or ☐ Correspondence address below
(Insert Customer No. or Attach bar code label here)

NAME	Michael J. Bell HOWREY SIMON ARNOLD & WHITE, LLP				
ADDRESS	Box No. 34 1299 Pennsylvania Avenue, N.W.				
CITY	Washington	STATE	DC	ZIP CODE	20004-2402
COUNTRY	US	TELEPHONE	202-783-0800	FAX	202-383-7195
Name (Print/Type)	Michael J. Bell			Registration No. (Attorney/Agent)	39,604
Signature	[Signature]			Date	August 23, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

HOWREY SIMON ARNOLD & WHITE, LLP
Box No. 34
1299 Pennsylvania Avenue, NW
Washington, DC 20004-2402
(202) 783-0800

JC979 U.S. PTO
09/935156
08/23/01

Attorney Docket No. 06192.0203.NPUS00

ASSISTANT COMMISSIONER FOR PATENTS
Washington, DC 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventor: **Jang-Kun SONG**

For: **LIQUID CRYSTAL DISPLAY AND SUBSTRATE THEREOF**

Enclosed is a new application comprising:

- ☒ A specification containing: 14 pages of description prior to the claims; 4 pages of claims (12 claims); a one (1) page abstract; 6 sheets of drawings (Figures 1, 2, 3, 4, 5, 6, 7 and 8)
- ☒ PTO SB/05

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)
FOR	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	12-20 =	* 0
INDEP. CLAIMS	3-3 =	* 0
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED		

*If the difference in Col. 1 is less than zero, enter "0" in Col. 2

SMALL ENTITY	
RATE	
	\$ 345.00
x 9 =	
x 39 =	
+ 130 =	
TOTAL	

OTHER THAN A SMALL ENTITY	
RATE	FEE
	\$ 710.00
x 18 =	
x 80 =	
+ 270 =	
TOTAL	\$710.00

- ☒ A check in the amount of \$710.00 to cover the filing fee is enclosed.
- ☒ The U.S. Patent and Trademark Office is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 08-3038 referencing docket number 06192.0203.NPUS00. *A copy of this sheet is enclosed.*
- ☒ Any patent application processing fees under 37 CFR 1.17
- ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Date August 23, 2001

Dech. Harkin (44403)
For Michael J. Bell
Registration No. 39,604

August 23, 2001

Box New Applications

Assistant Commissioner for Patents
Washington, DC 20231

JC979 U.S. PTO
09/935158
08/23/01

Re: New Utility Non-Provisional U.S. Patent Application
Application No. **TO BE ACCORDED** Filed **HEREWITH**
Title: **LIQUID CRYSTAL DISPLAY AND SUBSTRATE THEREOF**
Inventors: **Jang-Kun SONG**
Our Ref: **06192.0203.NPUS00**

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

1. Form PTO SB/05;
2. Form PTO 1082;
3. U.S. Utility Patent Application entitled:

LIQUID CRYSTAL DISPLAY AND SUBSTRATE THEREOF

and naming as inventors: **Jang-Kun SONG**

the application consisting of:

- a. A specification containing:
 - (i) 14 pages of description prior to the claims;
 - (ii) 4 pages of claims (12 claims);
 - (iii) a one (1) page abstract;
 - b. 6 sheets of drawings: (Figures 1, 2, 3, 4, 5, 6, 7 and 8);
4. Executed Combined Declaration-Power Attorney;
 5. Executed Assignment and PTO 1595 Assignment Recordation Sheet;

6. Claim for Priority and certified copy of Korean Patent No. 2000-71681 filed November 29, 2000;
7. Check No. 358309 in the amount of \$710.00 and Check No. 358308 in the amount of \$40.00 to cover the following Fees
\$710.00 Basic Application Filing and Extra Claims Fees
\$ 40.00 Assignment Recordation Fee
8. Two (2) return postcards

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 08-3038 referencing docket number 06192.0203.NPUS00.
A copy of this letter is enclosed.

Respectfully submitted,

Derek J. Jordin (44,483)

For Michael J. Bell
Registration No. 39,604

Enclosures